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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

30

Application Number

09/724,288

Filing Date

November 28, 2000

First Named Inventor

Schlenk, Dale B.

Group Art Unit

1647

Examiner Name

Unassigned

Attorney Docket Number

15270J-004765US

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (1 page, filed in duplicate)

☐ Fee Attached

☒ Preliminary Amendment (2 pp.)

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement Under 37 CFR §1.97 & §1.98 (4 pp.) w/PTO/SB0/8A & 08B (11 pp.)

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53 (w/copy of Notice to File Missing Parts of Nonprovisional Application mailed 02/08/01) (1 page)

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☒ 2 Powers of Attorney (PTO/SB/81) (to Cust. No. 20350) & (to Ashton, Duvall, Murphy)

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

1. Statement Und. 37 CFR 3.73(b) (copy) (1 page);

2. Initial Application Data Sheet (4 pp.); and,

3. Return Postcard.

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

Powers of Attorney identified above, were both filed in the parent case, U. S. Application No. 09/580,018.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Rosemarie L. Celli

Reg No. 42,397

Signature

Rosemarie L. Celli

Date

September 10, 2001

CERTIFICATE OF MAILING

Express Mail Label: **EL695654174US**

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date September 10, 2001 and is addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name

Wanda Alleje

Signature

Wanda Alleje

Date

September 10, 2001

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PA 3169565 v1

EL695654174US

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2970

Complete if Known

Application Number 09/724,288
Filing Date November 28, 2000
First Named Inventor Schenk, Dale B.
Examiner Name Unassigned
Group Art Unit 1647
Attorney Docket No. 15270J-004765US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
6	-20**	0	\$0
6	-3**	3	\$80
1			\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$240)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	1890
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$2020)

SUBMITTED BY

Name (Print/Type)

Rosemarie L. Celli

Registration No. (Attorney/Agent)

12,397

Telephone

650-326-2400

Signature

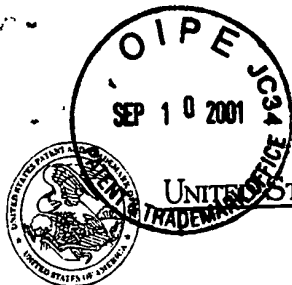
Rosemarie L. Celli

Date

September 10, 2001

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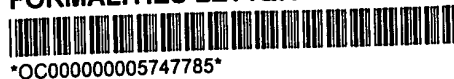
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/724,288	11/28/2000	Dale B. Schenk	15270J-004765US

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000005747785

10/05/2001 TV0111 00000011 201430 09724288

Date Mailed: 02/08/2001

01 FC:102 80.00 CH

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/19/2001 SHINASS1 00000090 201430 09724288

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH
02 FC:102 240.00 CH
03 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1104.
 - \$864 for 48 total claims over 20.
 - \$240 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Adjustment date: 10/05/2001 TV0111
09/19/2001 SHINASS1 00000090 201430 09724288
02 FC:102 240.00 CH

- The balance due by applicant is \$ 1944.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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